

# Fiscal Year 2020 Annual Report October 1, 2019, to September 30, 2020 United States Court of Appeals for Veterans Claims

1. The number of appeals filed with the Court: 1

**8,954** appeals (23% pro se at the time of filing)

2. The number of petitions filed with the Court:

**297 petitions** (41% pro se at the time of filing)

3. The number of applications filed with the Court under the Equal Access to Justice Act (EAJA), section 2412 of title 28: 6,512 EAJA applications

4. The total number of dispositions<sup>2</sup> by each of the following for FY 2020:

(A) The Court as a whole

8,430 appeals (12% pro se at time of disposition) 309 petitions (37% pro se at time of disposition)

6,744 EAJA applications

246 requests for reconsideration/panel decision<sup>3</sup>

179 appeals

67 petitions

15,729 total dispositions

<sup>&</sup>lt;sup>1</sup> Under 38 U.S.C. § 7288, the United States Court of Appeals for Veterans Claims (Court) is required to submit an annual report to the U.S. Senate Committee on Veterans' Affairs and the U.S. House of Representatives Committee on Veterans' Affairs that includes the elements enumerated in this report.

<sup>&</sup>lt;sup>2</sup> "Dispositions" include each resolution of a matter, including decisions on appeals, petitions, EAJA applications, and requests for reconsideration/panel decision.

The number of requests for reconsideration by the Court as a whole, including a single judge of the Court, a multi-judge panel of the Court, and the full Court.

4. The total number of dispositions by each of the following for FY 2020 (continued):

## (B) The Clerk of the Court<sup>4</sup>

6,423 appeals

9 petitions

6,716 EAJA applications

There were no requests for reconsideration of the Clerk's orders

### 13,148 total dispositions

## (C) A single judge of the Court

1,960 appeals

298 petitions

26 EAJA applications

149 requests for reconsideration

109 appeals

40 petitions

# 2,433 total dispositions

### (D) A multi-judge panel of the Court

46 appeals

1 petition

2 EAJA applications

79 requests for panel decision following a single-judge decision/panel reconsideration

57 appeals

22 petitions

### 128 total dispositions

# (E) The full Court

1 appeal

1 petition

0 EAJA applications

18 requests for a full court decision following a panel decision

13 appeals

5 petitions

# 20 total dispositions

<sup>&</sup>lt;sup>4</sup> This number generally includes matters resolved through agreement of the parties, often with the aid of staff mediation conferencing.

5. The number of each type of disposition by the Court, including settlement, affirmance, remand, vacation, dismissal, reversal, grant, and denial:

**APPEALS** 

TVDE OF DISPOSITION	CLERK <sup>5</sup>	SINGLE	THREE- JUDGE	FULL	тоты
TYPE OF DISPOSITION	CLEKK	JUDGE	PANEL	COURT	TOTAL
Affirmed	0	540	11	0	551
Affirmed or dismissed in part, reversed or vacated and remanded in part	3,374	186	2	0	3,562
Reversed or vacated and remanded in whole or in part	0	978	12	0	990
Remanded	2,246	7	6	0	2,259
Dismissed for lack of jurisdiction or timeliness	5	229	7	0	241
Dismissed for default	329	6	0	0	335
Dismissed voluntarily	469	14	8	1	492
TOTAL	6,423	1,960	46	1	8,430

## **PETITIONS**

TYPE OF DISPOSITION	CLERK	SINGLE JUDGE	THREE- JUDGE PANEL	FULL COURT	TOTAL
Extraordinary relief granted	0	0	0	0	0
Extraordinary relief denied	0	103	1	1	105
Extraordinary relief dismissed	0	111	0	0	111
Dismissed for default	2	0	0	0	2
Dismissed voluntarily	7	84	0	0	91
TOTAL	9	298	1	1	309

<sup>&</sup>lt;sup>5</sup> Please see footnote 4.

5. The number of each type of disposition by the Court, including settlement, affirmance, remand, vacation, dismissal, reversal, grant, and denial (continued):

EAJA

TYPE OF DISPOSITION	CLERK	SINGLE JUDGE	THREE- JUDGE PANEL	FULL COURT	TOTAL
Applications after decisions in appeals	6,715	24	2	0	6,741
Granted	6,715	13	1	0	6,729
Denied	0	3	0	0	3
Dismissed	0	8	1	0	9
Applications after decisions in petitions	1	2	0	0	3
Granted	1	0	0	0	1
Denied	0	2	0	0	2
Dismissed	0	0	0	0	0
TOTAL	6,716	26	2	0	6,744

- 6. The median time from filing an appeal to disposition (i.e., time from the veteran filing an appeal until initial dispositive action of the Court) by each of the following:
- (A) The Court as a whole: The median time from filing an appeal to disposition of the case by the Court, as a whole, is 265 days (8.8 months). This involves pre-chambers procedural activity (including record dispute resolution, staff mediation conferencing, briefing, screening, time for pro se appellants to seek counsel, and requests for additional time from the parties) and then disposition by a judge or a panel of judges (including consideration of any requests for class certification and class action) or by the Clerk of the Court.
- (B) The Clerk of the Court: The median time from filing an appeal to disposition of the case by the Clerk is 238 days (7.9 months). This involves pre-chambers procedural activity (including record dispute resolution, staff mediation conferencing, briefing, screening, time for pro se appellants to seek counsel, and requests for additional time from the parties) and then disposition by the Clerk.
- (C) A single judge of the Court: The median time for disposition of a single-judge decision once it has been assigned to chambers is 56 days (1.9 months). Activity once a matter is

6. The median time from filing an appeal to disposition (i.e., time from the veteran filing an appeal until initial dispositive action of the Court) by each of the following (continued):

assigned to chambers includes judges and law clerks collaborating to draft a decision and circulating all draft decisions to all judges for a one-week comment period. The median time from filing an appeal to disposition by a single judge, which includes pre-chambers procedural activity, is **428 days (14.3 months).** Pre-chambers procedural activity includes record dispute resolution, staff mediation conferencing, briefing, screening, time for pro se appellants to seek counsel, and requests for additional time from the parties.

- **(D)** Multiple judges of the Court (including a multi-judge panel of the Court or the full Court): The median time for disposition of a multi-judge panel decision once it has been assigned to a panel is 169 days (5.6 months). Activity once a matter is assigned to a panel includes judges collaborating with each other to determine the disposition and assign writing responsibilities; considering any requests for class certification and class action; possible orders for additional briefing; drafting the decision; circulating it to the panel for concurrence or the opportunity to write separately; and circulating all draft decisions to all judges for a one-week comment period. If oral argument is held, scheduling the argument adds a minimum of 45 days to the case-processing time. The median time from filing an appeal to disposition by a multi-judge panel, which includes pre-chambers procedural activity, is 647 days (21.6 months). Pre-chambers procedural activity includes record dispute resolution, staff mediation conferencing, briefing, screening, time for pro se appellants to seek counsel, and requests for additional time from the parties. In addition, scheduling a case for oral argument, which adds a minimum of 45 days, and additional time for supplemental briefing, contributes to the time for panel case disposition.
- 7. The median time from filing a petition to disposition by the Court:

**50 days** (1.7 months)

8. The median time from filing an EAJA application under section 2412 of title 28 to disposition by the Court:

**32 days** (1.1 months)

9. The median time from the completion of briefing requirements by the parties to disposition by the Court:

**106 days** (3.5 months)

Note: This time includes approximately two weeks to raise issues relating to finalizing the Record of Proceedings (a compilation of all documents relevant to the appeal) in every case. If an objection to the Record of Proceedings is filed, resolution can take several more weeks.

- 10. The number of oral arguments before the Court:
- **31 held** (62 scheduled, 16 settled, 15 cancelled)<sup>6</sup>
- 11. The number of cases appealed to the United States Court of Appeals for the Federal Circuit:
- 119 appeals
  22 petitions
  3 EAJA applications

144 total cases

12. The approximate number and status of appeals and petitions and EAJA applications pending with the Court as of the end of the fiscal year:

	APPEALS & PETITIONS	EAJA APPLICATIONS	TOTAL
Pre-chambers procedural activity <sup>7</sup>	7,037	867	7,904
Pending decision by a judge or panel	365	4	369
Post decision <sup>8</sup>	817	4	821
Pending a motion for reconsideration or panel	14	0	14
Pending entry of judgment	186	2	188
Pending entry of mandate	482	0	482
On appeal before the Federal Circuit <sup>9</sup>	135	2	137
TOTAL	8,219	875	9,094

<sup>&</sup>lt;sup>6</sup> Due to the onset of the COVID-19 pandemic, the Court was forced to reschedule six arguments. Three of those arguments had been scheduled at law schools as part of the Court's educational outreach program and the other three were scheduled to be held in-person at the Court. All six arguments were eventually held virtually via teleconference. Between April 2020 and the end of the fiscal year, the Court conducted 22 arguments via teleconference. In November 2020 the Court transitioned to videoconference arguments.

Pre-chambers procedural activity, the period of time from filing an appeal to assignment of the appeal to chambers, includes record dispute resolution, staff mediation conferencing, briefing, screening, time for pro se appellants to seek counsel, and requests for additional time from the parties.

This number reflects cases pending during the time required for judgment, mandate, and EAJA (if applicable) as well as cases on appeal to the Federal Circuit.

This represents the number of cases pending on appeal to the Federal Circuit at the end of FY 2020, which is different than the number of notices of appeal filed during FY 2020 reported in element 11.

13. The number of cases pending with the Court more than 18 months as of the end of the fiscal year:

#### 572 total cases

567 appeals 5 petitions

Pre-chambers procedural activity <sup>10</sup>	201
Pending decision by a judge or panel	55
Post decision	316

14. A summary of any service performed for the Court by a recalled retired judge of the Court:

Four retired judges were recalled to service as Senior Judges in FY 2020. Senior Judges issued 179 single-judge decisions. In addition, Senior Judges participated in several panel decisions including two three-judge panels and two en banc panels. They also participated in 10 three-judge panels reconsidering single-judge decisions and two en banc panels reconsidering three-judge panel decisions. Three Senior Judges were also involved in committee work for the Court.

- 15. An assessment of the workload of each judge of the Court, including consideration of the following:
  - (A) The time required of each judge for disposition of each type of case.
  - (B) The number of cases reviewed by the Court.
  - (C) The average workload of other Federal appellate judges.

As reflected in the responses to elements 1-13 above, each active judge<sup>11</sup> on the Court carries a substantial workload. The judges' primary responsibilities are rendering decisions on appeals, petitions, related motions (e.g., procedural motions and motions for reconsideration or for panel review), applications filed pursuant to 28 U.S.C. § 2412 (EAJA), and a new and growing class action caseload. The judges are also responsible for the general direction and oversight of the operations of the Court and serve on various committees in furtherance of those obligations.

The number of new cases filed at the Court in FY 2020 again increased dramatically over the previous year, likely due in large part to the substantial increase in final decisions issued by the Board of Veterans' Appeals (Board). In FY 2020, the Board increased production of final decisions from 95,000 to more than 102,000.

Over the course of FY 2020, the Court averaged 748 appeals filed per month, and reached a high of 841 appeals filed in January 2020. These numbers are the highest in the 30-year history of the Court. In addition, the Court received four requests for class certification and class action, and certified two classes. The Court has kept pace with this historic increase in the number of appeals in large part due to the significant percentage of cases that are resolved through the mandatory pre-briefing mediation conferences conducted by Court staff attorneys.

Delays associated with these cases are due primarily to parties' requests for stays, requests for additional time from the parties, or the complexity of the case.

Consistent with calculations of data performed by the Administrative Office of the United States Courts, "active judge" refers only to a judge who has been active for the entire fiscal year.

In FY 2020, the Court averaged 234 cases (appeals and petitions) decided on the merits per active judge. For that same time period, the average number of merits decisions decided per active judge in the 13 Circuit Courts of Appeals ranged from 36 to 210. The Court received 1,322 filings per active judge, based on the 9,251 cases (8,954 and 297 petitions) filed in FY 2020. The number of filings per active judge for the Circuit Courts of appeals ranged from 81 to 443.

Congress recently renewed the Court's temporary authority for nine active judges. The Court's current workload justifies making the temporary expansion to nine judges permanent.