



GUIDE

FOR

COUNSEL

**IN CASES TO BE ARGUED
BEFORE THE**

**UNITED STATES COURT OF APPEALS
FOR VETERANS CLAIMS**

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UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

GUIDE FOR COUNSEL IN CASES TO BE ARGUED BEFORE THIS COURT



I. INTRODUCTION

This guide is designed to assist attorneys and non-attorney practitioners preparing cases for argument before this Court, especially those who have not previously argued here. It is not a substitute for the [Court's Rules of Practice and Procedure](#). Counsel should familiarize themselves with the Rules of Practice and Procedure that are available on the Court's website at www.uscourts.cavc.gov, in particular [Rule 34](#) (attached).

The Clerk will notify counsel of record for the parties when the Court enters an order setting the date and time for oral argument. Please read the Court's Rules of Practice and Procedure and this guide carefully, as they set forth guidance for counsel. Any questions counsel have should be

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directed to the Clerk's Office (202-501-5980). Counsel may also write the Clerk's Office at U.S. Court of Appeals for Veterans Claims, 625 Indiana Avenue, NW, Suite 900, Washington, DC 20004-2950. All records are kept by docket number; it is important that counsel have the docket number at hand when seeking information. **IMPORTANT:** The Clerk's Office must be notified as soon as possible of any changes, including any change of counsel of record. The Clerk's Office relies upon those attorneys listed as counsel of record for all communications, as do parties interested in filing amicus briefs. Entering counsel must file a notice of appearance; withdrawal requires Court approval. (See [Rule 46](#) of the Court's Rules of Practice and Procedure.)

II. ORAL ARGUMENT

A. SCHEDULE AND PREPARATION

Oral arguments before the Court are generally heard in Washington, D.C. The oral argument schedule is on the Court's website at http://www.uscourts.cavc.gov/oral_arguments_schedule.php. Arguments may be scheduled on any day of the week. Unless the Court directs otherwise, each side is allowed 30 minutes for argument. If the Court hears more than one argument in a single day, it generally hears one argument at 10:00 a.m. and one at 1:30 p.m.

Occasionally, the Court holds oral arguments on law school campuses and other locations throughout the nation. If you are preparing for such arguments and need further information about the location of the argument, please call the Clerk's Office at (202) 501-5980.

The Court will notify counsel when a case is assigned to panel and will usually then seek to identify a date for oral argument to be heard not earlier than 60 days after the date the case is assigned. When your case has been calendared for argument, the Clerk will send a notice to counsel.

To the extent possible, the Clerk will endeavor to schedule the oral argument to avoid conflicts. If counsel have any longstanding professional or religious commitments, or for some reason cannot appear for oral argument on the date set for argument, a request for postponement shall be made by motion filed reasonably in advance of the date fixed for argument and shall contain a showing of good cause (See Rule 34(d) of the Court's Rules of Practice and Procedure.)

Please advise the Clerk of any necessary accommodations to permit suitable arrangements at the counsel tables.

B. PRO SE (SELF REPRESENTED) APPELLANTS

Generally, arguments before the court are made by counsel. If a judge identifies an issue for oral argument in a case where an appellant is *pro se*, the Court will stay the case to allow the appellant to seek counsel with the assistance of the Pro Bono Consortium, a federally funded program designed to assist veterans obtain counsel for cases before the court.

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C. DAY OF ARGUMENT

Arguing counsel and co-counsel who will be seated at the counsel tables for cases to be argued in the morning must report on the day of argument to the conference room in the second-floor library of the Court between 9:00 and 9:15 a.m. The Clerk will brief counsel at this time on Courtroom protocol and answer any last-minute questions they may have. Arguing counsel and co-counsel whose cases are



scheduled for an afternoon session need not be present in the morning for the Clerk's briefing or the oral arguments, but must instead report to the library on the day of argument at 12:45 p.m. for a briefing by the Clerk. If desired by counsel, the Clerk will make arrangements for wi-fi access in the Courtroom and, should counsel find themselves in need of anything unexpectedly, the Clerk will attempt to accommodate counsel's needs.

Appropriate attire for counsel is conservative business dress in traditional dark colors (e.g., navy blue or charcoal gray).

Cell phones, personal computers, and any other electronic devices not in use must be turned off or in silent mode and stored. Coats, hats, and unneeded papers of arguing counsel and co-counsel may be left in the conference room in the library on the second floor. Coats and hats may also be hung on the coat racks outside of the Courtroom.

On argument days, no interviews may be conducted and news cameras are not permitted in the Court building; however, interviews may take place and cameras are allowed in the front of the building, where reporters may wait to talk to counsel after argument has concluded.

Audio transcripts of oral arguments will be posted on the Court's website, www.uscourts.cavc.gov, within one business day of the argument. To obtain a copy of the argument on CD, contact the Clerk's Office at 202-501-5980.

D. SEATING FOR COUNSEL

After you have met with and been briefed by the Clerk, the Clerk is available to escort you to the Courtroom.

Two seats are available at each counsel table in the Courtroom, one for the arguing counsel and a second to accommodate a co-counsel, if any. Generally, only one co-counsel per each arguing counsel will be seated at the table.

It is appropriate for co-counsel to occupy the arguing counsel's chair when the latter is presenting argument. Except in extraordinary circumstances, co-counsel do not pass notes to arguing counsel during argument.

E. IN THE COURTROOM—ORDER OF BUSINESS

Arguing counsel and co-counsel should be settled in the Courtroom and seated in their assigned seats at the counsel tables about 10 minutes before oral argument is set to begin. The Clerk of the Court cries the Court in at 10:00 a.m. The Presiding Judge



will then announce that the Court will hear the scheduled argument. Counsel will be asked to identify themselves to the Court, and counsel should stand when doing so. Appellant's counsel will then be asked if they are ready to proceed and if they wish to reserve time for rebuttal, and will then be told they may proceed. You may acknowledge the usual: "Judge [Name of Presiding Judge], and may it please the Court." "Chief Judge" is used only in addressing the Chief Judge, who, you should note, may not always be part of the panel. Judges are referred to as "Judge Greenberg" or "Judge Schoelen," for instance, or, "Your Honor." If you are in doubt about the name of a Judge who is addressing you, look to the Judge's nameplate. Remember, it is better to use "Your Honor" than to address one Judge by another's name.

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F. YOUR ARGUMENT

Effective attorneys demonstrate a commanding knowledge of the facts and law at issue; articulate issues clearly and concisely; and answer the Judge's questions promptly, directly, and incisively. A superior oral argument illustrates that counsels' preparedness and understanding of protocol better enable the Judges to efficiently probe and comprehend the parties' arguments.

Examples of oral arguments before the Court are located in an "Audio" file on the Court's website, www.uscourts.cavc.gov, under "Oral Arguments."

1. Preparation

Remember that briefs are different from oral argument. A complex issue might take up a large portion of your brief, but there might be no need to argue that issue. Merits briefs should contain a logical review of all issues in the case. Oral arguments are not designed to summarize briefs, but to present the opportunity to stress the main issues of the case that might persuade the Court in your favor.

Some counsel find it useful to have a section in their notes entitled "cut to the chase." They refer to that section in the event that most of their time has been consumed by answering questions posed by the Judges. Consulting a list of essential issues allows counsel to efficiently use the few precious minutes remaining to stress main points.

If your argument focuses on case law, statute, regulation, or other enactment, be sure that the authority is printed in full in one of your pleadings so that you can refer the Judges to it and they can look at it during your argument.

Do not bring numerous volumes to the lectern. One notebook will suffice. Some brave counsel know their cases so well, they argue without any notes.

Be well acquainted with the entire body of law that relates to each issue, not just the cases you cite in your brief.

Know the record, especially the procedural history of the case. Be prepared to answer a question such as: "Why didn't you make a motion for expedited consideration?" You have the opportunity to emphasize the most salient facts. Judges occasionally ask where a fact can be found in the record. It is impressive when you can respond with the page where the information is located. It is also quite effective to quote from the record of proceedings.

Do not make assertions about issues or facts not in the record. Know your client's claim. Know the circumstances of your client's medical examinations, names of physicians, dates of examinations, and whether there are conflicting opinions.

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Many attorneys find it instructive to attend a courtroom session before their scheduled argument day. If you choose to do this, feel free to come by the Clerk's Office and introduce yourself to the Clerk.

In addition, the following are excellent sources of information for counsel to consult in preparing for oral argument: *Making Your Case: the Art of Persuading Judges*, by Justice Antonin Scalia and Bryan A. Garner; Chapter 14, "Oral Argument," *Supreme Court Practice* (9th ed.), by Eugene Gressman, Kenneth Geller, Stephen Shapiro, Timothy Bishop, and Edward Hartnett; and *Supreme Court Appellate Advocacy: Mastering Oral Argument*, 2nd ed., by David Frederick.

2. Time

Argument time is normally limited to 30 minutes. Requests for additional time for oral argument may be made by motion filed reasonably in advance of the date fixed for oral argument and shall contain a showing of good cause. (See Rule 34(d) of the Court's Rules of Practice and Procedure.)

You need not use all your time. In several U.S Supreme Court cases, counsel for respondents used only a third or less of the time allotted and nonetheless prevailed in their cases. For example, counsel for the respondent in *Burgess v. United States*, 553 U.S. 124 (2008), argued for only 7 of the allotted 30 minutes and yet prevailed in a unanimous decision of the Court.

There is a digital clock on the podium that will show your allotted time for argument. If your time expires while you are answering a question from a Judge, unless asked to stop you may continue your answer and respond to any additional questions from that Judge or any other Judge. Do not, however, continue your argument without leave of the Court.

In a divided argument, it is effective for counsel to inform the Court of their argument plan. For example, appellant's counsel might say: "I will address the relevant statutes and regulations and counsel for the amicus will address the arguments of General Counsel."

Appellant's counsel who wants to reserve time for rebuttal should, prior to arguing, say: "I would like to reserve __ minutes for my rebuttal." Appellant's counsel then argues, and the

Courtroom Clerk will set the time for 30 minutes minus the requested rebuttal time. Appellee's counsel proceeds to the lectern, waits for acknowledgment by the Presiding Judge, and then opens with the following: "Judge [Name of Presiding Judge], and may it please the Court." When appellee's counsel has finished and gathered all items from the lectern, appellant's counsel should return to the lectern and wait for acknowledgment by the Presiding Judge, at which time the Presiding Judge will say, e.g.: "You have 5 minutes remaining." You may begin your rebuttal at this time without having to repeat: "Judge [Name of Presiding Judge], and may it please the Court."

Following argument, the Presiding Judge announces: "The case is submitted." At this point, the Presiding Judge may announce that the panel will greet counsel, at which time the Judges will pass by counsel tables and greet counsel by shaking their hands. Promptly and quietly vacate the

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front argument table after the Judges have departed and the Clerk has announced that "the Court is in recess."

3. Protocol

The Court is not a jury. A trial lawyer tries to persuade a jury with facts and emotion. At this Court, counsel should try to persuade the Court by arguing points of law.

Your argument should focus only on the question or questions presented in the briefs and record on appeal. Do not deviate from that question or those questions. It is unfair to both opposing counsel and to the Court to introduce arguments for which they have not prepared.

Ordinarily the Judges will know whether you are making your first argument before the Court. Be assured that some first-time arguments have been far superior to presentations from counsel who have argued several times.

Counsel for the appellant need not recite the facts of the case before beginning argument. The facts are set out in the briefs, which the Judges have read.

Please speak clearly and distinctly, and try to avoid a monotone delivery. Speak into the microphone so that the Judges can hear you and you can be recorded clearly. (The official record of the proceeding is an audio record.) Try not to allow notes or books to touch the microphones. Avoid reading your argument from a prepared script.

You should not attempt to enhance your argument time by a rapid-fire, staccato delivery.

Exhibits can be useful in appropriate cases, but be very careful to ensure that any exhibit you use is appealing, accurate, and capable of being read from a distance of about 25 feet. Be sure to explain to the Court precisely what the exhibit is. Counsel must advise the Clerk of the intent to use an exhibit as promptly as possible and certainly before oral argument. (See Rule 34(g) of the Court's Rules of Practice and Procedure.) For a good example of an exhibit used at an oral argument, see the U.S. Supreme Court's decision in *Shaw v. Reno*, 509 U.S. 630, 658 (1993).

You should be knowledgeable about what is and is not in the record in your case. Judges frequently ask counsel if particular matters are in the record. If you are asked a question that will require you to refer to matters not in the record, your answer should so state; then proceed to respond to the question unless advised otherwise by the Judge.

Never interrupt a Judge who is addressing you. Give your full time and attention to that Judge—do not look down at your notes and do not look at your watch. If you are speaking and a Judge interrupts you, cease talking immediately and listen.

When a Judge makes a point that is adverse to you, do not "stonewall." Either concede the point, as appropriate, or explain why the point is not dispositive of your case and proceed with

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your argument. Conceding when appropriate gives you greater credibility with the Court with regard to the rest of your argument.

Do not "correct" a Judge unless the matter is essential. In one case before the U.S. Supreme Court, a Justice asked a question and mentioned "waiver." Counsel responded by stating that a "forfeiture" rather than "waiver" was involved. The distinction was irrelevant, but the comment generated more questions and wasted valuable time.

Be careful to use precise language and wherever possible avoid use of obscure or fancy words.

Be careful not to use the jargon of the military or Department of Veterans Affairs. Even if the jargon is widely understood within those entities, the Court may not be familiar with it. Similarly, during argument do not use the familiar name of your client. For instance, say: "Mr. Clark timely filed the Notice of Appeal," rather than "Bob timely filed the Notice of Appeal."

Do not refer to an opinion of the Court by saying: "In Judge Bartley's opinion." Rather, you should say: "In the Court's opinion, written by Judge Bartley."

If you quote a document (e.g., a statute or regulation) verbatim, tell the Court where to find the document (e.g., page 4 of the record of proceedings).

Attempts at humor usually fall flat. The same is true of attempts at familiarity. For example, do not say something such as, "This is similar to a case argued when I clerked here."

Do not denigrate opposing counsel. It is far more appropriate and effective to be courteous to your opponent. The Court has reprimanded counsel from the bench and in its opinion for counsel's use of derogatory terms to refer to the opposing party. See *Lamb v. Peake*, 22 Vet.App. 227, 236 (2008).

Avoid emotional oration and loud, impassioned pleas. A well-reasoned and logical presentation without resort to histrionics is easier for listeners to comprehend.

Do not argue facts (unless your point is that a Board finding of fact was clearly erroneous or constituted clear and unmistakable error). Argue to the question or questions of law presented.

Counsel for the appellee are often effective when they preface their argument by answering questions that appellant's counsel could not answer or answered incorrectly or ineffectively. This can often get you off to a positive start.

If your opponent is persuasive on a certain theme during argument, especially one that was not anticipated, you should address that issue at the outset of argument or rebuttal argument rather than adhere to a previously planned presentation. You take a great risk if you ignore a persuasive point made by your opponent.

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Rebuttal can be very effective. But you can be even more effective if you thoughtfully waive it when your opponent has not been impressive. If you have any rebuttal, make it and stop. There is no requirement that you use all your allotted time.

4. Answering Questions

You should assume that all of the Judges have read the briefs filed in your case, including amicus curiae briefs.

Anticipate the questions the Judges will ask and be prepared to answer those questions. If a case with issues similar to yours was previously argued in this Court, consider reviewing the oral argument in that case. Reviewing oral argument from a similar case might help you anticipate questions from those Judges who heard the previous case. If an audio file of the oral argument you seek is not available on the Court's website, please call the Clerk's Office, at 202-501-5980, to find out whether a recording of the oral argument is otherwise available.

Make every effort to answer the Judges' questions directly. If at all possible, say "yes" or "no," and then expand upon your answer if you wish. If you do not know the answer, you should say so. When a Judge asks you a question, do not respond by posing another question to the Judge.

If counsel stumbles on a question from the Court or does not fully answer it, it is a good tactic for an amicus curiae counsel or other counsel supporting that counsel's side to begin argument by repeating the question and answering it correctly and completely. Other supporting counsel will have had time to reflect on the question and perhaps develop a better answer.

A Judge will often ask a counsel seeking to establish a new precedent: "Do any cases from this Court support your position?" Be ready for the question, but be careful to cite only those cases that truly support your position. Do not distort the meaning of a precedent. The author of the opinion is likely to be a member of the Court and to have a remarkable memory of exactly what the opinion says. If you are relying on a case that had a dissent or multiple dissents in an en banc decision, be sure to mention that there was a dissent by Judge ___ in the case. Again, that Judge may very well be on the panel.

In appropriate cases, suggest to the Court that bright-line rules should be adopted and suggest what they should be.

If a question seems hostile to you, do not answer with a short and abrupt response. It is far more effective to be polite and accurate.

If a Judge poses a hypothetical question, you should respond to that question on the facts given in the question. In the past, several attorneys have responded: "But those aren't the facts in this case!" The Judge posing the question is aware that there are different facts in your case, but wants and expects your answer to the hypothetical question. Answer, and after that, if you feel it is necessary, say something such as: "However, the facts in this case are distinguishable."

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Your answer should be carefully tailored to fit the question. A "yes" or "no" answer might be suitable for a narrow question. However, a simple "yes" or "no" in response to a broad question might unintentionally concede a point and prompt a follow-up question or statement that may ultimately damage your position.

In recent years, in response to a Judge's question at oral argument, one counsel responded, "I'll get to that." Never respond to a Judge's question with, "I'll get to that." If a Judge is asking the question it means that he or she would like the answer immediately. Evading Judges' questions contravenes the purpose of oral argument, frustrating the Judges' efforts to find answers to the questions they bring to oral argument.

If you are finishing one Judge's question when a second Judge asks you another, ask the second Judge's indulgence to finish answering the first Judge's question. Although there is no definite rule of protocol, if two Judges start to speak at once, the junior Judge will generally withdraw in deference to the senior. Perhaps you could respond to the senior Judge's question first, and then address questions from junior Judges. These are the active Judges of the Court in order of seniority: Chief Judge Bartley, Judge Pietsch, Judge Greenberg, Judge Allen, Judge Meredith, Judge Toth, and Judge Falvey. (View [Judges' Bios](#) here)

III. COURTROOM SEATING

Courtroom seating is limited. Spectators are seated first come, first seated. Groups can request reserved seating by writing the Clerk of the Court in advance.

If arguing counsel desires to reserve space in the public section, counsel must contact the Clerk's Office after receiving notice of the argument. A letter concerning reservations, including the names of guests, should be sent to: Clerk of the Court, United States Court of Appeals for Veterans Claims, 625 Indiana Avenue, NW, Suite 900, Washington, DC 20004-2950. The Clerk, depending on available space, will endeavor to accommodate as many of your guests as possible.

When your guests arrive at the Court on the day of argument, they may deposit coats, hats, briefcases, cameras, electronic equipment, and similar items in the library conference room on the second floor. There are also coat racks outside of the Courtroom. They should then proceed to the ninth floor. From there, a Marshal will escort them to the Courtroom, which is on the eleventh floor. Electronic devices must be turned off or on silent mode and be stored away during argument.

If you or a guest needs a device for the hearing impaired, please request assistance from the Clerk's Office in advance of the oral argument.

IV. DECISIONAL PROCESS

After a case has been argued, the panel will hold a conference and develop a decision. The case will be assigned to a Judge to write the majority opinion. Decisions may be issued at any time after the argument. The only information the Clerk or his staff can give you in this regard is that cases that have been argued will be decided as expeditiously as possible. As an officer of the Court you have an obligation to inform the Court and opposing counsel of any pertinent and significant authority that comes to your attention while the decision is pending. (See [Rule 30 \(b\)](#) (Citation of Supplemental Authority) of the Court's Rules of Practice and Procedure.)

Opinions are issued by the Court on any business day the Court is open. Copies of opinions are electronically transmitted to arguing counsel and counsel of record on the day of issuance. Soon after they are issued, opinions are available on the Court's website, www.uscourts.cavc.gov, and on Westlaw and LEXIS.

Judgment, pursuant to [Rule 36](#) of the Court's Rules of Practice and Procedure, "[u]nless the Court orders otherwise," will be entered by the Clerk after the later of "the date on which the time allowed in [Rule 35\(d\)](#) (Time for Motion) has expired, or the date on which the Court renders a decision on any motion(s) filed pursuant to Rule 35 (Motions for Reconsideration, Panel Decision, or Full Court Review) when no further motion under Rule 35 is permitted to be filed."

The Court's judgment becomes final and is effective as a matter of law pursuant to 38 U.S.C. § 7291(a). Mandate generally is 60 days after judgment entered unless a timely notice of appeal to the U.S. Court of Appeals for the Federal Circuit is filed with the Clerk.

If the appellant prevails, an application for attorney fees and expenses may be submitted after mandate is issued. (See [Rule 39](#) of the Court's Rules of Practice and Procedure.)

V. AUDIO RECORD OF ORAL ARGUMENT BEFORE THE COURT

Audio recordings of oral arguments – the official record of the Court - will be posted on the Court's website, www.uscourts.cavc.gov within one business day of the argument. Digital audio copies (currently in mp3 or, prior to 2016, in wma) may also be downloaded directly from our website (http://www.uscourts.cavc.gov/oral_arguments_audio.php) by right-clicking on the associated link, then selecting "Save target as" or "Save link as" (depending on your browser) and indicating a save location either locally or on your own network. To obtain a copy of the argument on CD, contact the Clerk's office at 202-501-5980.

VI. GETTING TO THE COURT AND MISCELLANEOUS INFORMATION

The Clerk and staff wish to be helpful to counsel and will endeavor to answer all requests to assist them in their visit to the U.S. Court of Appeals for Veterans Claims.

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A. THE COURT'S WEBSITE

The Court's website, www.uscourts.cavc.gov, provides access to the electronic docket, information on electronic filing requirements, opinions, Court calendar, argument calendar, audio files of oral arguments, bar and admission forms and instructions, Rules of the Court, guides to filing appeals, this guide, and other information about the Court.

B. THE COURT BUILDING: LOCATION AND HOURS

The U.S. Court of Appeals for Veterans Claims is located in the city's Penn Quarter, at 625 Indiana Avenue, NW, Suite 900, Washington, DC 20004-2950. The Court is open from 9:00 a.m. to 4:30 p.m., Monday through Friday. The Court is easily reached by taxi or Metro (subway) from Ronald Reagan (National) Airport. The building is closed Saturdays, Sundays, and holidays. It is accessible to persons with disabilities.

C. GETTING TO THE COURT BY METRO (SUBWAY)

The Archives-Navy Memorial-Penn Quarter Station (Green and Yellow Lines) lies within one block (.1 miles) of the Court. The following stations are also near the Court: Judiciary Square (Red Line) (.26 miles from the Court) and Gallery Place-Chinatown (Red Line) (.28 miles from the Court).

D. PARKING

There is limited commercial parking in the lower floors of the building the Court occupies, and additional commercial parking is available in the vicinity. The Court does not validate parking.

E. LODGING IN WASHINGTON, DC

There are many hotels in the Washington metropolitan area, several of which are in the vicinity of the Court. Travel websites provide information about hotels for all budgets in the Washington metropolitan area. To search for hotels located near the Court, search for hotels in Penn Quarter or in the vicinity of the Capital One Arena.



Submit Questions or Comments to:

Gregory O. Block, Clerk of the Court
United States Court of Appeals for Veterans Claims
625 Indiana Avenue, NW, Suite 900
Washington, DC 20004-2950
www.uscourts.cavc.gov

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